

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : LIU  
Application No. : 10/779,648  
Filed : February 18, 2004  
Title : APPARATUS AND METHOD FOR CARRIER  
FREQUENCY OFFSET AND PHASE COMPENSATION  
IN COMMUNICATION SYSTEM  
Group Art Unit : 2661  
Examiner : Unknown  
Attorney Docket : 3111-420

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**TRANSMITTAL COVER SHEET**

Transmitted herewith for filing are the following:


1. INFORMATION DISCLOSURE STATEMENT, along with  
Form PTO-1449 (in duplicate) and copies of foreign documents  
and articles listed thereon.

The Commissioner is hereby authorized to charge any fees which may be  
required for the filing of this document to **Deposit Account No. 501874.**

Respectfully submitted,

Date: June 20, 2005

By:

  
Bruce H. Troxell  
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**OFFICE OF INITIAL PATENT EXAMINATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with the duty of disclosure under 37 CFR 1.56, and 37 CFR 1.97-1.98, the documents listed on the attached form PTO-1449 are hereby made of record in this patent application. Copies of the articles and any foreign patent documents are enclosed.

As this Information Disclosure Statement is being filed prior to the mailing of the first Official Action in this application, no fee is believed due in order to have the enclosed references considered by the Examiner and made of record in the application.

Early action on the merits of the application is earnestly solicited.

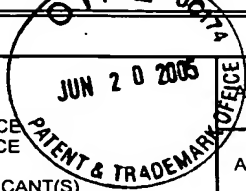
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|  |   |   |                                   |                      |       |                                      |                               |
|--|---|---|-----------------------------------|----------------------|-------|--------------------------------------|-------------------------------|
| Sheet 1 of 2   |   |   |                                   |                      |       |                                      |                               |
| FORM PTO 1449 (modified)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>LIST OF REFERENCES CITED BY APPLICANT(S)<br>(Use several sheets if necessary)<br><br>Date Submitted to PTO: <b>June 20, 2005</b> | <div style="text-align: center;">  </div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">PATENT DOCKET NO. <b>3111-420</b></td> <td style="width: 50%;">APPLICATION NO. <b>10/779,648</b></td> </tr> <tr> <td colspan="2">APPLICANT <b>LIU</b></td> </tr> <tr> <td>FILING DATE <b>February 18, 2004</b></td> <td>GROUP <b>2661</b></td> </tr> </table> | PATENT DOCKET NO. <b>3111-420</b>   | APPLICATION NO. <b>10/779,648</b> | APPLICANT <b>LIU</b> |       | FILING DATE <b>February 18, 2004</b> | GROUP <b>2661</b>             |
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| U.S. PATENT DOCUMENTS  |   |   |                                   |                      |       |                                      |                               |
| *EXAMINER<br>INITIAL   |   | DOCUMENT<br>NUMBER  | DATE                              | NAME                 | CLASS | SUBCLASS                             | FILING DATE IF<br>APPROPRIATE |
|  |   | 5285474   | Feb. 8, 1994                      | Chow et al.          |       |                                      |                               |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)   |   |   |                                   |                      |       |                                      |                               |
|  |   | Jack S. Chow, Jerry C. Tu, and J.M. Cioffi, "A Discrete Multitone Transceiver System for HDSL Applications", IEEE J. on Sel Areas in Comm., Vol. 9, No. 6, pp. 895-908, August 1991                         |                                   |                      |       |                                      |                               |
|  |   | J.S. Chow, J.M. Cioffi, and J.A.C. Bingham, "Equalizer training algorithms for multicarrier modulation system", ICC, pp. 761-765, May 1993  |                                   |                      |       |                                      |                               |
|  |   | J.W. Melsa, Richard C. Younce and Charles E. Rohrs, "Impulse Response Shortening for Discrete Multitone Transceivers", IEEE Trans. on Comm., Vol. 44, No. 12, pp. 1662-1672, December 1996                  |                                   |                      |       |                                      |                               |
|  |   | N. Al-Dhahir and J.M. Cioffi, "Efficiently computed reduced-parameter input-aided MMSE equalizers for ML detection: A unified approach", IEEE Trans. on Info. Theory, Vol. 42, pp. 903-915, May 1996        |                                   |                      |       |                                      |                               |
|  |   | N. Al-Dhahir and J.M. Cioffi, "Optimum finite-length equalization for multicarrier transceivers", IEEE Trans. on Comm., Vol. 44, pp. 56-63, Jan. 1996   |                                   |                      |       |                                      |                               |
|  |   | Werner Henkel, and Thomas Kessler, "Maximizing the Channel Capacity of Multicarrier Transmission by Suitable Adaptation of the Time-Domain Equalizer", IEEE Trans. on Comm., Vol. 48, No. 12, December 2000 |                                   |                      |       |                                      |                               |
|  |   | Katleen et al., "Per Tone Equalization for DMT-Based Systems", IEEE Trans. on Comm., Vol. 49, No. 1, Jan. 2001  |                                   |                      |       |                                      |                               |
|  |   | Guner Arslan et al., "Equalization for Discrete Multitone Transceivers to Maximize Bit Rate", IEEE Trans. on Signal processing.   |                                   |                      |       |                                      |                               |
| EXAMINER   |   |   |                                   | DATE CONSIDERED      |       |                                      |                               |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)

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## U.S. PATENT DOCUMENTS

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## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

Zheng Du, and Jinkang Zhu, "A pilot-based frequency offset tracking scheme in OFDM systems", 2001 International Conferences on Info-Tech and Info-Net, Vol 2, pp. 566-571, Beijing, China, October 29, 2001 - November 1, 2001

Yan Zhang and Xiaohu Yu, "An improved automatic frequency correction scheme for discontinuous pilot mobile communication system," IEEE 2001 Spring Vehicular Technology Conference, Vol. 3, pp 1708-1712, Rhodes, Greece, 6-9, May 2001.

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Leland B. Jackson, "Signals, Systems, and Transforms", Addison-Wesley Publishing Company, Inc., 1991, Page 410.

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